



國際金融財務策劃師學會
Society of International Registered Financial Practitioner

Application Form for

SIRFP Intensive Training Workshop

Name: _____ (English) _____ (Chinese)

Contact no: _____ (Office) _____ (Mobile)

Fax no: _____ Email: _____

Company name of current service: _____

Current Position: _____

Correspondence address: _____

Intensive training Workshop:

Code of training workshop: 2008N_05

Date: 24 May & 31 May 2008 (2 days)

Time: 9:30am to 12:30pm and 2:00pm to 5:00pm

Venue: To be confirmed

Topic:

- Asset management (Code: 2008N-07A)
- Applied Financial Planning (Code: 2008N-07B)

Examinations for Intensive Training:

Applied Financial Planning (Code: 2008N-07B)

Duration: 1.5 hour

Date: 31 May 2008

Time: 2:00pm – 3:30pm

Mode: Case Study and answer in essay format (Chinese / English)

Asset Management (Code: 2008N-07A)

Duration: 1 hour

Date: 31 May 2008

Time: 4:00pm – 5:00pm

Mode: 40 Multiple Choices (Pass: 28/40)

Remark: Candidates who passed HKSI Practising Certificate (Securities) and/ or HKSI Specialist Certificate (Asset Management) may apply for exemption for the Examination of “subject: Asset Management” (Code: 2007N-07A).

Deadline for application: 25 Apr 2008

Requirement for Application of Intensive Training:

- 1.) Applicant with 3 years or above financial service industry experience can apply for full member of SIRFP (IRFP).
- 2.) Applicant with less than 3 years financial service industry experience can apply for Associate member (AIRFP).
- 3.) Applicant should be recommended by Fellow Member and/ or Committee Member of SIRFP.

Remark: Please provide the name and title of person who recommend for the application.

Name: _____ Title: _____

Payment:

Intensive Training Workshop	HK\$ 4,500.00
Application and administration fee of SIRFP	HK\$ 1,500.00
Annual membership fee of SIRFP	HK\$ 800.00 (Full Member, IRFP)
	HK\$ 600.00 (Associate, AIRFP)
Total :	HK\$ 6,800 / 6,600

Cheque no: _____ Bank: _____

Declaration:

1. I declare that ***I have / have not*** passed the ***HKSI Practising Certificate (Securities) and/ or HKSI Specialist Certificate (Asset Management)***. < Please select the appropriate option >
2. I authorize the Society of International Registered Financial Practitioner to obtain any necessary information about my record in Hong Kong and elsewhere.
3. I declare that the information provided by me in this application are true and correct and I understand that my application may not be considered if any information is found to be false or incorrect.

Signature: _____ Date: _____

Remark:

- 1) Please complete this form with Membership Application Form and relevant documents (HKID copy, Certificate of relevant qualification, etc).
- 2) Please make cheque payable to **Society of International Registered Financial Practitioner Limited** and return to **HK Secretariat Office: Unit 1502, Chuang's Tower, 30-32 Connaught Rd Central, HK.**
- 3) By taking the intensive training workshop, applicants who apply for the Direct Entry/ Admission as full members, IRFP, with the exemption of required examinations, since the professional qualities have to be concerned, the final approval of the relevant submission should be confirmed by the SIRFP.

< SIRFP Intensive Training Workshop >

Module 單元: Asset Management 資產管理

Code 編號: 2007N-07A

Topic 內容:

1. Managed Funds 管理基金
 - ~ Types of managed funds 管理基金類別
 - ~ On-shore & offshore funds 離岸與非離岸基金
 - ~ Benefits & costs 效益與成本
 - ~ Role of Investors, promoters, Fund managers, Trustee & Distributors 參與者之角色

2. Asset classes 資產類別
 - ~ Equities 股票
 - ~ Fixed income securities 定息證券
 - ~ Property 房地產
 - ~ Cash 現金
 - ~ Foreign exchange 外匯
 - ~ Derivatives 衍生工具

3. Client Objectives and Portfolio Management 客戶目標及投資組合管理
 - ~ Identifying client objectives and expectation 分析客戶目標及期望
 - ~ Concept of Risk management 風險管理概念
 - Risk & Return 風險與回報
 - Applications of Modern Portfolio Theory 現代投資組合的應用
 - ~ Capital Asset Pricing Model 資本資產定價模式
 - ~ Arbitrage Pricing Theory 套戥定價理論

4. Investment Management Process 投資管理過程
 - ~ Setting & Identifying the investment objectives & constraints 設定投資目標及限制
 - ~ Formulating investment strategy 訂定投資策略
 - ~ Asset allocation 資產分配

Module: Applied Financial Planning 理財策劃應用

Code 編號: 2007N-07B

Topic 內容:

1. Background to the Financial Planning industry 理財策劃行業的背景
 - ~ Comparison and development in HK, PRC & foreign countries 比較香港、中國及西方國家理財行業之發展

2. Major services of Financial Planning industry 理財行業主要之服務範疇
 - ~ Personal Risk Management (Protection) 個人風險管理 (保障)
 - ~ Asset accumulation 財富累積
 - ~ Investment & saving 投資與儲蓄
 - ~ Tax Planning 稅務策劃
 - ~ Estate Planning 遺產策劃
 - ~ Retirement Planning 退休計劃
 - ~ Trust 信託
 - ~ Mortgage Planning 樓宇按揭

3. Client objectives & portfolio management 客戶目標及投資組合管理
 - ~ Identifying client objectives 識別客戶目標
 - ~ Risk management 風險管理
 - ~ Portfolio management 投資組合管理
 - ~ Reviewing & monitoring the investment management process 投資管理過程檢討與監察

4. Evaluating importance of Estate Planning 分析遺產策劃之重要
 - ~ Principles of Estate Planning and Trust 遺產策劃及信託之基礎原則
 - ~ Benefits and costs 效益與成本
 - ~ Will-writing & Execution 遺囑撰寫及執行
 - ~ Types of Trust 信託之類別
 - Retirement Protection Trust 退休保障信託
 - Family Protection Trust 家庭保障信託

5. Case Study 個案分析